



ATTORNEY'S DOCKET NO.: S1168.70018US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James A. GRASFIELD and David E. WINSTON  
Serial No.: 10/797,912  
Filed: March 10, 2004  
For: STETHOSCOPE COMMUNICATION AND REMOTE DIAGNOSIS SYSTEM

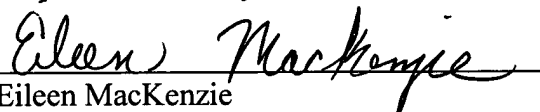
Examiner: Unassigned  
Art Unit: 2644

Confirmation No.: 3349

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 3, 2005.

  
Eileen MacKenzie

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Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are the following documents:

- ☒ Copy of Notice to File Missing Parts
- ☒ Letter Requesting Retention of Application
- ☒ Petition For A Five Month Extension of Time

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

Serial No.: 10/452,846

-2-

Art Unit: 2645

A check in the amount of \$1,210.00 is enclosed to cover the \$1,080.00 extension of time fee and the \$130.00 retention fee. Please credit any overpayment or charge any deficiency in the enclosed fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

James A. GRASFIELD and David E. WINSTON

By: 

James H. Morris

Registration No. 34,681

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, MA 02210

Tel. (617) 720-3500

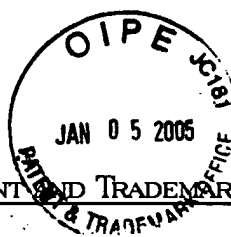
Attorneys for Applicants

Docket No.: S1168.70018US01

Dated: January 3, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/797,912	03/10/2004	James A. Grasfield	S1168.70018US01

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

CONFIRMATION NO. 3349

**FORMALITIES LETTER**



\*OC000000012831618\*

Date Mailed: 06/01/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 9-16.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1460** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$2360** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
  
- Total additional claim fee(s) for this application is **\$1460**
  - **\$344** for **4** independent claims over 3.
  - **\$1116** for **62** total claims over 20.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

RASANTOS

Customer Service Center

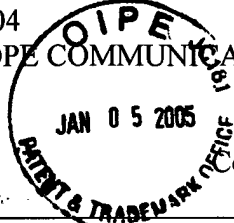
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

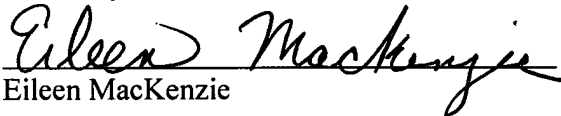
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Commissioner for Patents  
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Sir:

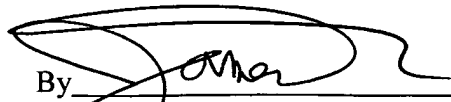
**LETTER REQUESTING RETENTION OF THE APPLICATION**

In response to the Notice to File Missing Parts mailed June 1, 2004, Applicants submit the processing and retention fee of \$130.00 pursuant to 37 CFR §1.21(1). Please retain the file and maintain this application in the records of the Patent and Trademark Office. By submitting this processing and retention fee, Applicants intend to preserve their rights to claim the benefit of the filing date of the above-identified U.S. patent application pursuant to 35 U.S.C. §120 and 37 CFR §1.78(a)(1)(iv).

Please credit any overpayment or charge any deficiency in the enclosed fees to Deposit Account No. 23/2825.

Respectfully submitted,

James A. GRASFIELD and David E. WINSTON

By   
James H. Morris, Registration No. 34,681  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500  
Attorneys for the Applicants

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Dated: January 3, 2005